

CIRCULAR

NSE

Surrender of Membership

Muhurat Trading session on account of Diwali

Muhurat Trading session on account of Diwali

Mock trading on Saturday, November 07,2020 - No New Version release

CDS – MONTHLY SETTLEMENT SCHEDULE FOR DEC 2020

COMMODITY DERIVATIVES – SETTLEMENT SCHEDULE FOR DEC 2020

Trade for Trade

SAT order in the matter of Kelvin Fincap Limited

Guidelines for maintaining client and settlement bank accounts by the Trading members

NOTIS Application

Sovereign Gold Bond 2020 - 2021 - Issuance and Subscription - Series VIII

Surrender of Membership

Disciplinary actions for late/non submission of data towards 'Cash & Cash Equivalent Balances' and 'Bank Account Balances'

Closure of Client Collateral Accounts and Client Margin Trading Securities Accounts maintained by Trading Members

BSE

Demat Auction - 146

Muhurat Trading Session for Commodity Derivatives Segment on account of Diwali Laxmi Pujan CHANGE IN CIRCUIT FILTER

Commencement of business by Trading Member (Deposit Based Member)

Applicability of Additional Surveillance Measure

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

CHANGE IN CIRCUIT FILTER

Voluntary Closure of Business

Graded Surveillance Measure (GSM) – List of securities moving into their respective GSM Stages

SAT Order in respect of Kelvin Fincap ltd

Mock Trading on Saturday, November 07, 2020 for Equity segment

Give-up / Take-up timings for T day trades on Muhurat Trading

Discontinuance of Buyback of the shares of JK Paper Limited (Scrip code: 532162) Demat Auction - 151 SAT Order in respect of Viren Shelters LLP and Others CHANGE IN CIRCUIT FILTER Guidelines for maintaining client & settlement bank accounts by the Trading Members UNSC Press Release and Sanction Lists Closure of Client Collateral Accounts maintained by Trading Members Disciplinary actions for late/non-submission of data towards 'Cash & Cash Equivalent Balances' and 'Bank Account Balances' UNSC Press Release and Sanction Lists

MCX

De-accreditation of Warehouse (Cotton Bales)

Muhurat Trading Session on account of Diwali on November 14, 2020

Submission of Half Yearly Networth Certificate as on September 30, 2020

Exemption from travel ban for three sanctioned members of the Taliban

Submission of Half Yearly Networth Certificate as on September 30, 2020

Commencement of Futures Trading in Gold Mini February 2021 Contract and LTP Based Spread

Trading Facility in Gold Mini Futures Contracts

FATF Public Statements after October 2020 Plenary

Revision in Threshold Limits for Concentration Margin

Due Date Rates

Regaining Matched Book

ICEX

INTERNAL RISK ASSESSMENT OF REGISTERED INTERMEDIARIES MUHURAT TRADING SESSION ON ACCOUNT OF DIWALI ON NOVEMBER 14, 2020 EXEMPTION FROM TRAVEL BAN FOR THREE SANCTIONED MEMBERS OF THE TALIBAN FATF PUBLIC STATEMENTS AFTER OCTOBER 2020 PLENARY COMMENCEMENT OF FUTURES TRADING IN STEEL LONG CONTRACT

NCDEX

SFMS Messages for Bank Guarantees

Extension for Submission of data for Internal Risk assessment of Registered Intermediaries Muhurat trading session on account of Diwali on November 14, 2020

UNSC Approved Exemptions

Mock Trading (Contingency Drill) on 7 November 2020 Application for Surrender of membership FATF Public Statements after October 2020 Plenary

NSDL

No Circulars

INDIA INX

Submission of Half yearly Networth Certificate as on September 30, 2020 for the financial year 2020-21 Muhurat Trading on account of Diwali Laxmi Pujan Submission of Networth Certificate, Auditor's Report & Audited Annual Accounts for the financial year ended on 31/03/2020 System Audit of Trading members for the Half year ended September 30, 2020 Submission of Internal Audit Report for period ended September 30, 2020 Submission of half yearly Networth certificate as on September 30, 2020 for the financial year 2020-21 Revised Settlement Schedule for the Period 01.11.2019 to 30.11.2019 Revised Settlement Schedule and Client Margin Reporting on Account of Muhurat Trading Session

NSE IFSC

Submission of Networth Certificate System Audit of Trading Members (Type III) Submission of Annual Returns for the year 2019–20 Mock trading (Contingency) on Saturday, November 07,2020 Muhurat Trading session on account of Diwali Framework for Listing of Depository Receipts Adjustment of Futures and Options contracts in the security AMBUJACEM

SEBI

Investor Grievance Redressal Mechanism

Advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions Outsourcing of activities, Business Continuity Plan and Disaster Recovery, and Cyber Security and Cyber

Resilience framework - Limited Purpose Clearing Corporation

Circular on Norms regarding holding of liquid assets in open ended debt schemes & stress testing of open ended debt schemes

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